



AMERICAN SHORT LINE AND REGIONAL RAILROAD ASSOCIATION

The Voice of America's Independent Railroads

Dear Prospective Railroad Member:

Thank you for your interest in the American Short Line and Regional Railroad Association. We are pleased to have the opportunity to provide you with information about our organization and the benefits of membership. ASLRRA represents over 480 small railroads located in all 50 states, as well as Canada and Mexico, and more than 400 suppliers of goods and services to the rail industry. A few of the many ways in which ASLRRA assists its members are explored below:

Advocacy & Governance

One of ASLRRA's most important functions is keeping its members abreast of government and industry affairs. In a related role, ASLRRA monitors and testifies on legislative initiatives that impact small carriers before Congress and federal agencies, ensuring that the voice of short line railroads is heard in the offices of Washington's policy makers. To help members remain in compliance with new regulations, the Association distributes and provides clarification of final rules.

This past year saw unprecedented legislative and regulatory requirements, many stemming from the passage of the Rail Safety Improvement Act of 2008, H.R. 2095. This new law stipulated implementation dates for new regulations commencing in 2009 and phasing in through 2020. The same Congress that enacted H.R. 2095 last year is now hard at work on re-regulation legislation, antitrust legislation and increased truck size and weight laws, all of which have potential adverse implications for both large and small railroads.

The ASLRRA is committed to heavily engaging with the Congress, FRA, Class I railroads, rail labor, shippers and industry manufacturers representatives to guide the final rules and legislation to protect the interests of short lines and our customers in the years ahead.

Safety & Training

ASLRRA believes strongly in the importance of promoting a safe workplace. Accordingly, we have developed a number of FRA-approved model programs for Class II and III railroads, including: Alcohol and Drug, Bridge, Continuous Welded Rail, Engineer Certification, FRA Emergency Order #26, Operating Rules, Roadway Worker Protection, and Power Brake rules. We help members to further achieve their safety goals with a series of Safety Training Seminars held in various locations through out the year. Finally, ASLRRA's dedicated staff provides another tier of support and is available to answer safety- and training-related questions whenever necessary.

Meetings

ASLRRA members stay informed through a regular schedule of meetings: educational and training seminars, Railroad Day on Capitol Hill (an industry day in Washington), three Regional Meetings, and an Annual Convention with more than 45 educational sessions including RailroadU and an Exhibition showcasing goods and services for small railroads. In addition to being a forum for information sharing, these meetings provide a prime venue for suppliers and railroaders to interact. A list of upcoming meeting dates is available at www.aslrra.org/meetings___seminars/

Member Tools

ASLRRA members may use the Association's on-line E-Marketplace tool to contact over 400 Associate Members and place requests for bids on goods and services. We provide this time-saving, searchable database to our members at no additional cost. View www.aslrra.org/our_members/Associate_Members/ to learn more. Also included is a Classified Ad section where members can advertise for goods and services they wish to buy or sell. Visit www.aslrra.org/member_tools___services/classifieds/index.cfm for more information.

News & Publications

Offered in the *News & Publications* section of the ASLRRA website are copies of a few of our publications, including our bi-weekly newsletter, *Views & News* and the bi-monthly *Safety Bulletin*. Available on the Members' Only website are copies of the quarterly, *Bridge, Legal, Secure, and Tech Tracks Newsletters*. *State Tracks* is issued twice a week and emailed to members. These publications help members remain up-to-date on developments in the short line and regional railroad industry and assist them in running a safe business. Also available for viewing online is the Association's Annual Report which provides an overview of occurrences and trends in the small railroad industry.

In addition, ASLRRA publishes the results of its comprehensive data study of national Class II and III railroads on a bi-annual basis. The resulting booklet, *Facts and Figures*, details statistics for the small railroad industry. The information contained in this booklet, which is available for purchase at a reduced rate for members, points to the scope of short line capabilities and services.

Working and Industry Committees

In order to meet the needs of a varied membership base, ASLRRA has established a variety of standing committees, including Bridge, Finance & Administration, General Counsel, Legislative Policy, Passenger, Railroad Police & Security, Safety & Training, and Technical. Through membership with the ASLRRA, railroads have the opportunity to serve as committee members and make valuable contributions through their participation. Additionally, ASLRRA staff and members represent the short line and regional railroad industry through service on over 25 industry committees.

Special Services

ASLRRA members can also benefit from the following programs as described in the attached Special Services file:

- CAPTRUST 401(k) Affinity Plan
- HCM/CheckPoint Payroll System
- NARS/National Academy of Railroad Sciences Training Programs
- Project S.A.F.E. (Safety Assessment and Facilities Evaluation)
- RRIF Loan Assistance Program
- Transportation Occupational Medical Consultants (TOMC) Hearing Testing & Conservation Program
- World Fuel Services Bulk Fuel Management Program

ASLRRA Membership

Working for the interests of short line and regional railroads since 1913, ASLRRA's continuing mandate is to effectively represent and promote the interests of our membership. Aided in accomplishing this goal by a host of programs and services, we have an established track record of which we are quite proud.

We would be pleased to welcome your railroad operation as a member of ASLRRA and begin providing you with all the associated benefits. Attached to this letter you will find a Benefits Summary, Membership Application and Dues Schedule. Please take a moment to review them and let us know if we can provide any further assistance.



RAILROAD MEMBER - BENEFITS SUMMARY

General

- FRA Record Retention Guidelines
- FRA Compliance Forms and Programs
- Hazmat Pocket Response Card**
- Members' Only Website Access
- Membership List
- NARS Training Program**
- Publications – At Reduced Cost**
- Summary of Threat Alert Systems**
- Tariffs
- Traffic Assistance
- Voting Rights

Administrative

- CAPTRUST 401(k) Affinity Plan**
- Federal Tax Credit Guidance
- HCM/Checkpoint Payroll System**
- Project S.A.F.E. Safety Audits**
- RRIF Loan Assistance
- Transportation Occupational Medicine Consultants (TOMC) Hearing Conservation Program**
- World Fuel Services Bulk Fuel Management Program**

Communications

- Annual Report
- Disaster Planning and Record Keeping
- National Data Survey Results** (complimentary to participants)
- Safety Bulletin (bi-monthly)
- Track Newsletters
Bridge, Legal, Secure, and Tech (quarterly)
and State (twice a week)
- Security Alerts and Updates
- Views and News (bi-weekly)

E-Commerce

- ASLRRRA Public Web Site Listing (Railroad Member Marketing Directory)
- Hot Tracks/Press Release Posting
- Place Ads/On-Line Classifieds**

** Available at an additional fee



RAILROAD MEMBER - BENEFITS SUMMARY

Meetings/Board/Committees

- Advanced Electronic Meeting Notices
- Post Meeting Access to Presentations
- Reduced Meeting Registration Fees
- Sponsorship Opportunities**
- Representation on ASLRRRA Board
- Industry Meeting Representation
Class I, AAR, FRA, STB, RIWG & RSTAC
- Legislative Policy Formation**
- Safety & Regulatory
Bridges, Conductor Certification, Hours of Service,
Medical Standards, Narrowbanding, and Positive Train Control
- Standing Committee Representation (and member opportunity to serve)
Bridge, General Counsel, Legislative Policy, Passenger,
Railroad Policy & Security, Safety & Training, and Technology

** Available at an additional fee



AMERICAN SHORT LINE AND REGIONAL RAILROAD ASSOCIATION

The Voice of America's Independent Railroads

APPLICATION FOR MEMBERSHIP - RAILROAD MEMBER

ASLRRA is a non-profit, incorporated association comprised of short line and regional railroads, with a Board of Directors elected regionally. The action of the Association is advisory only and not binding upon any of its members.

Full Membership: Any railroad located in the continental or territorial United States, Canada, or Mexico, is eligible for full membership. Except as otherwise directed by the Board of Directors, there shall be a separate membership for each railroad.

The undersigned company is applying for membership in American Short Line and Regional Railroad Association. Please complete and return the application with payment to the ASLRRA office. Refer to attached Dues and Fee Schedule. Thank you.

Company Name _____

Mailing Address _____

City _____ State _____ Zip _____

Note: Please provide a shipping address (for FedEx, UPS) on a separate sheet. We cannot ship to a P. O. Box

Phone _____ Fax* _____

Website address: _____ E-mail address _____

Parent Company: _____ Alpha Code: _____ Route miles operated: _____

State(s) railroad operates in: _____ 2010 Gross Operating Revenue: _____

Class II, III, or S&T Carrier: _____ Connecting railroad carrier(s): _____

ASLRRA regularly corresponds with its members via e-mail, including the bi-weekly newsletter, *Views and News*, bi-monthly *Safety Bulletin* and quarterly *Tracks* newsletters. Please provide e-mail addresses below:

Company Contacts: (Please note additional addresses, phone numbers, etc. on a separate sheet if different from above)

Main Contact _____ Title _____ E-mail _____

Operating Officer _____ Title _____ E-mail _____

Financial Contact _____ Title _____ E-mail _____

Marketing Contact _____ Title _____ E-mail _____

SLE _____ Title _____ E-mail _____

Drug & Alcohol Admin. _____ Title _____ E-mail _____

Security Contact _____ Title _____ E-mail _____

Voting Contact _____ Title _____ E-mail _____

General Counsel _____ Title _____ E-mail _____

Please specify if General Counsel is in-house attorney or representative from an outside firm: In-house Counsel Outside Firm

Company/Contact who recommended you join the ASLRRA: _____

*By joining the ASLRRA you agree to occasionally receive unsolicited fax material from the ASLRRA, which may include information about other members and relevant business products or services.

NOTE: Dues payments to the ASLRRA are not deductible as charitable contributions for federal income tax purposes, but may be deductible as a business expense. The portion of annual membership dues attributable to lobbying activities is not deductible. **Currently, 25% of members' dues are not deductible.**

Office Use Only: EJO: Amt. Pd: _____ Date Rec'd: _____ Method of Payment: _____ Eff. Date: _____ Region: _____
DW: Public Web & Surveys/ CLH: MMX & Status/ CL: Welcome Packet & V&N/ KMC: Members Only Site and Review Rev. 01/12

AMERICAN SHORT LINE AND REGIONAL RAILROAD ASSOCIATION DUES AND FEE SCHEDULE

RAILROAD MEMBERSHIP DUES AND FEES: Full membership is open to any freight railroad located in the United States, Canada, or Mexico. Except as otherwise directed by the Board of Directors, there shall be a separate membership for each railroad member. Multiple member railroads owned or operated by a single entity will pay dues based on a graduated formula as shown below.

Class III Dues Rate:	\$8.26 per \$1,000 annual railway operating revenue based on a maximum threshold of \$895,000
Class III Minimum Dues:	\$1,615 per year
Class III Maximum Dues:	\$7,405 per year
Class II Dues:	\$8,735 per year
Canadian and Mexican Railroads:	\$1,615 per year

Multiple Member Railroad Dues Computation Schedule:

- Railroad groups of 3 but less than 10 will pay 60% of dues based on current revenue rate and caps.
- Railroad groups of 10 but less than 20 will pay 55% of dues based on current revenue rate and caps.
- Railroad groups of 20 but less than 45 will pay 50% of dues based on current revenue rate and caps.
- Railroad groups of more than 45 will pay 45% of dues based on current revenue rate and caps and \$1,615 for subset railroads and Canadian carriers will be comped.

Carrier Operating Revenue includes the following revenue:

Freight, switching, water transfers, demurrage, incidental, joint facility (debit and credit), transfers from government authorities for current operations and amortization of deferred transfers from government authorities.

Carrier Operating Revenue does not include the following:

Income from property used in other than carrier operations, miscellaneous rent income, revenue from separately operated properties, dividend income, interest income, income from sinking and other funds, release of premiums of funded debt, contributions from other companies, income from affiliates and miscellaneous non-operating income.

ASLRRRA Constitution, Article IX

Payment of Dues

Each member shall pay such dues as may be determined by the Board of Directors as necessary for conducting the affairs of the Association.

Computation of Dues

- (a) Dues of full members shall be based on the total annual railway operating revenues of each such member.
- (b) The total operating revenues for the second previous year shall be used in calculating such dues.
- (c) The Board of Directors may, for good cause, authorize deviations from the provisions of this Section.

Report of Revenues

Each member shall submit a report to the Association of total annual railway operating revenues by March 31st of each year covering the preceding calendar year.

PAYMENT INFORMATION

Check (payable to ASLRRA); Check/money order payments must be drawn in US dollars.

American Express

Visa

MasterCard

Card Number: _____ Exp. Date: _____

Card Verification Number: _____

On AMEX, it is a 4-digit number on the front of the card, above the card number. On VISA or MC it is the last 3 digits of the number on back of card inside signature panel.

Print Cardholder Name: _____ **TOTAL DUE: \$** _____

Signature: _____ Date: _____

Cardholder Billing Address: _____

City _____ State _____ Zip _____

Cardholder Phone Number: _____ Cardholder Email Address: _____

Please complete and return with payment to the ASLRRA office. Thank you.

Members preferring to pay by credit card are requested to send an email to oneill@aslrra.org advising authorization of amount to be credited. DO NOT SEND CREDIT CARD INFORMATION IN YOUR EMAIL.